



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
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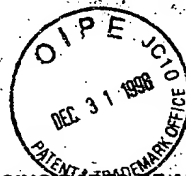
JH#3

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
09/181,547	10/29/98	MATSUMOTO	7217/57274

0242/1117

JAY H MAIOLI  
DUNPER & DUNHAM  
1185 AVENUE OF THE AMERICAS  
NEW YORK NY 10036

NOT ASSIGNED



2712

DATE MAILED:

11/17/98

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
**Filing Date Granted**

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☒ non-small entity is \$ 130.00.

☐ 1. The statutory basic filing fee is:

- ☐ missing.
- ☐ insufficient.

Applicant must submit \$ \_\_\_\_\_ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

Additional claim fees of \$ \_\_\_\_\_, including any multiple dependent claim fees, are required.

\$ \_\_\_\_\_ for \_\_\_\_\_ independent claims over 3.

\$ \_\_\_\_\_ for \_\_\_\_\_ dependent claims over 20.

\$ \_\_\_\_\_ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☐ 3. The oath or declaration:

- ☐ is missing or unexecuted.
- ☐ does not cover the newly submitted items.
- ☐ does not identify the application to which it applies.
- ☐ does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application does not comply with the Sequence Rules.

See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

*Dunper & Dunham*  
Customer Service Center

Patent Examination Division (703) 308-1202

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Sector \$  
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Kissei Matsumoto  
Serial No. : 09/181,547  
Filed : October 29, 1998  
For : DATA SENDING/RECEIVING METHOD AND APPARATUS,  
DATA RECEIVING APPARATUS AND DATA SENDING  
APPARATUS  
Attention : Customer Service Center  
Initial Patent Examination Division

I hereby certify that this paper is being deposited this date with the U.S. Postal Service in first class mail addressed to Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Jay H. Maioli  
Reg. No. 27,213

Date  
December 28, 1998

December 28, 1998  
1185 Avenue of the Americas  
New York, NY 10036  
(212) 278-0400

RESPONSE TO NOTICE TO FILE MISSING PARTS  
OF APPLICATION-FILING DATE GRANTED

Hon. Commissioner of Patents and Trademarks  
Washington, D.C. 20231

Sir:

In response to the Notice To File Missing Parts of Application-Filing Date Granted mailed November 17, 1998, Applicants hereby submit a newly executed Declaration that identifies the above application by serial number and filing date. The required surcharge is also submitted herewith along with a copy of the subject Notice.

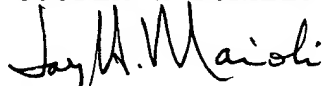
The Office is hereby authorized to charge any additional fees which may be required in connection with this amendment and to credit any overpayment to our Deposit Account No. 03-3125.

Page 2  
December 28, 1998

If a petition for an extension of time is required to make this response timely, this paper should be considered to be such a petition, and the Commissioner is authorized to charge the requisite fees to our deposit account No.03-3125.

Respectfully submitted,

COOPER & DUNHAM LLP



Jay H. Maioli  
Reg. No. 27,213

File: 7217/57274

JHM:jk  
Enc.